



Australian Government

Department of Health and Ageing
Office of the Gene Technology Regulator

Application Checklist for a Physical Containment Facility Level 2 Invertebrate Facility

Checklist against the requirements under Part A of the Gene Technology Regulator's
Guidelines for Certification of a Physical Containment Level 2 Invertebrate Facility Version 3.1 - 1 March 2013

Please Note

- The use of this checklist proforma is **not** mandatory in order to conduct an inspection of certified facilities for compliance with the requirements of certification under Part A of *Guidelines for Certification of a Physical Containment Level 2 Invertebrate Facility Version 3.1 - 1 March 2013*. Rather, it is provided to assist those who find it convenient to use for the above mentioned.
- This proforma is based on the requirements of certification as detailed in the Gene Technology Regulator's *Guidelines for Certification of a Physical Containment Level 2 Invertebrate Facility Version 3.1 - 1 March 2013*. (the Guidelines). **Applicants must refer to these guidelines for full details of the requirements before confirming compliance on the application form.**
- If in answer to any of the questions below it is indicated that the facility does not meet the requirements of certification under Part A of the Guidelines or may not be able to meet the expected conditions of certification, as listed in Part B of the Guidelines, then this information should be reported under section 3 of the *Application for the Certification of a Physical Containment Facility* along with any suggested strategies to support the application certification of the facility.
- **Please do not send this report to the OGTR unless specifically requested.**

Organisation name:	
Facility name:	
Inspected by:	
	Date:

Facility and fitting requirements				
		Yes	No	Notes/Details
Facility	Fully enclosed space bounded by walls, doors, windows, floors and ceilings			
Surfaces (incl. furnishings)	Impenetrable to GMOs or effective decontamination methods in place			
Joints	Sealed and impenetrable to GMOs housed in facility			
Openings	Any openings in the walls, ceiling or roof are filtered or screened at the boundary to prevent entry or exit of animals including invertebrates			
Facility boundaries	Facility boundaries are designed to prevent escape of the animals being contained			
Anteroom	Facility has an anteroom and entry to the facility is via the anteroom			
Self-Closing Door	Is a self-closing door installed.			
Drainage exits	Fitted with barriers to prevent invertebrates and animals			

		Yes	No	Notes/Details
Open spaces under benches etc	Accessible for decontamination			
Decontamination of hands	Hands free washbasin fitted OR			
	Other means of decontamination of hands			
Eyewash	Equipment provided and maintained			
Aerosol containment	PC2 Risk Group 2 GM micro-organisms dealings proposed will produce aerosols			
	If yes - BSC installed (Class I or Class II BSC must be installed in accordance with the requirements of AS2252.4) OR			
	Other equipment to contain aerosols to be used (will require written permission from the Regulator)			
Backflow prevention	Device or system that may cause contamination of a potable water supply with GMOs requiring PC2 containment connected directly or indirectly to any part of a water service			
	If yes - Backflow risk assessment has been carried out			
	Backflow prevention necessary and prevention measures implemented in accordance with the requirements of AS/NZS 3500.1			
		Yes	No	Notes/Details

Hanging/Storage Provisions	Designated hanging or storage provisions for PPE.			
Pests	Control strategy in place			
Capacity to comply with certification conditions				
Capacity to comply	Demonstrated capacity to comply with the conditions of certification that will generally be applied to a certified PC2 Invertebrate Facility (these condition set out under Part B of <i>Guidelines for Certification of Physical Containment Facilities PC2 Invertebrate Facility Version 3.1– issued 1 March 2013</i>)			