



Australian Government

Department of Health and Ageing  
Office of the Gene Technology Regulator

## Application Checklist for a Physical Containment Facility Level 2 Laboratory

Checklist against the requirements under Part A of the Gene Technology Regulator's  
*Guidelines for Certification of a Physical Containment Level 2 Laboratory Version 3.2 – 1 March 2013*

### Please Note

- The use of this checklist proforma is **not** mandatory in order to conduct an inspection of certified facilities for compliance with the requirements of certification under Part A of *Guidelines for Certification of a Physical Containment Level 2 Laboratory Version 3.2 – 1 March 2013*. Rather, it is provided to assist those who find it convenient to use for the above mentioned inspection.
- This proforma is based on the requirements of certification as detailed in the Gene Technology Regulator's *Guidelines for Certification of a Physical Containment Level 2 Laboratory Version 3.2 – 1 March 2013* (the Guidelines). **Applicants must refer to these guidelines for full details of the requirements before confirming compliance on the application form.**
- If in answer to any of the questions below it is indicated that the facility does not meet the requirements of certification under Part A of the Guidelines or may not be able to meet the expected conditions of certification, as listed in Part B of the Guidelines, then this information should be reported under section 3 of the *Application for the Certification of a Physical Containment Facility* along with any suggested strategies to support the application for certification of the facility.
- **Please do not send this report to the OGTR unless specifically requested.**

<b>Organisation name:</b>	
<b>Facility name:</b>	
<b>Inspected by:</b>	
	<b>Date:</b>

<b>Facility and fitting requirements</b>				
		<b>Yes</b>	<b>No</b>	<b>Notes/Details</b>
<b>Facility</b>	Fully enclosed space bounded by walls, doors, windows, floors and ceilings			
<b>Surfaces (incl. furnishings)</b>	Smooth, impermeable to water, cleanable and resistant to damage by cleaning agents used in the facility			
<b>Open spaces under benches etc</b>	Accessible for decontamination			
<b>Decontamination of hands</b>	Hands free washbasin fitted <b>OR</b>			
	Other means of decontamination of hands			
<b>Eyewash</b>	Equipment provided (either a plumbed eyewash or single use packs of sterile eye irrigation fluids)			

		Yes	No	Notes/Details
<b>Aerosol containment</b>	PC2 Risk Group 2 GM micro-organisms dealings proposed will produce aerosols			
	<b>If yes</b> - BSC installed (Class I or Class II BSC must be installed in accordance with the requirements of AS 2252.4)  <b>OR</b>			
	Other equipment to contain aerosols to be used (will require written permission from the Regulator)			
<b>Backflow prevention</b>	Device or system that may cause contamination of a potable water supply with GMOs requiring PC2 containment connected directly or indirectly to any part of a water service			
	<b>If yes</b> - Backflow risk assessment has been carried out			
	Backflow prevention necessary and prevention measures implemented in accordance with the requirements of AS/NZS 3500.1			
<b>Hanging/Storage Provisions</b>	Provisions for the storage or hanging of PPE.			
<b>Capacity to comply with certification conditions</b>				
<b>Capacity to comply</b>	Demonstrated capacity to comply with the conditions of certification that will generally be applied to a certified PC2 Laboratory (these conditions are set out under Part B of <i>Guidelines for Certification of Physical Containment Facilities PC2 Laboratory Version 3.2 – issued 1 March 2013</i> )			