



Annual Inspection Checklist for PC2 Aquatic Facilities

Please Note

- The use of this checklist proforma is **not** mandatory, rather it is provided to assist in the annual inspection of certified facilities. While the proforma is **not** intended to be the **only** acceptable format, a completed copy of this proforma will be accepted by the OGTR as satisfying the annual inspection requirement of Condition 12 of Part B of the *Guidelines for Certification of a Physical Containment Level 2 Aquatic Facility Version 3.1 – 1 March 2013* (the Guidelines).
- **Please do not send this report to the OGTR unless specifically requested.**

About Completing this Proforma

This proforma is based on the usual conditions of certification as detailed in the Gene Technology Regulator's *Guidelines for Certification of a Physical Containment Level 2 Aquatic Facility Version 3.1 – 1 March 2013*.

- Where an exemption or variation to one or more conditions of certification has been approved by the Regulator (or delegate) then inspection must be made against the conditions as approved on the instrument of certification for the facility.
- In such cases you can make a note in the space provided and report on compliance against the variation to the usual condition that is detailed on the proforma.
- If answering '**No**' to a condition for which there is no exemption or variation, please make a comment about the reason for the non-compliance and any actions being taken to rectify the situation. A request for a variation may be necessary.

Facility name:	
Inspected by:	Date:
	OGTR Identifier number:

Facility and fitting conditions					
		Yes	No	N/A	Notes
Facility	Is the facility site subject to flooding or storm surges as described in requirement 1 of Part A.				
	If yes; Are there strategies in place to minimise the risk of loss of containment during such events				
	Structure				
	Fully enclosed space bounded by walls, doors, windows, floors and ceilings				
	Any significant structural changes that may affect containment?				
Bunding	Bunding is durable, impervious to water and joints sealed				
	Bunding meets the required volume as described in Condition 4 of Part A				
Effluent	Effluent is decontaminated before release				

		Yes	No	N/A	Notes
Drainage Exits	Drainage exits screened or filtered to prevent the escape of aquatic organisms or ingress of animals and invertebrates				
Openings	Openings in the walls, ceiling or roof are filtered at the facility boundary or screened to prevent entry or exit of invertebrates or animals				
Eyewash	Equipment provided and maintained				
Surfaces	Smooth, impermeable to water, cleanable and resistant to damage by any cleaning agents used in the facility (includes furnishings, benches, walls, floors etc.)				
Open spaces under benches and equipment	Accessible for decontamination				
Decontamination of hands	Hands free washbasin fitted OR				
	Other means of decontamination of hands (eg: dispensers containing decontaminant solution)				
Aerosol containment	PC2 GM dealings produce aerosols				
	Aerosols are contained in a BSC or other equipment specifically approved in writing				
	If BSC Class I or II used to contain the aerosols:				
	BSC inspected and tested at least once within last 12 months				
	Certificate of test results and date of next test affixed to side of cabinet				

		Yes	No	N/A	Notes
Heat based decontamination equipment	Validated monthly				
	Calibrated annually				
Backflow prevention	If installed – maintained and tested annually (if testable)				
	Changes to or new connections made to the water supply (If yes - new Risk Assessment required)				
	If RA requires backflow protection, is it installed and functioning properly				

General conditions					
		Yes	No	N/A	Notes
Ownership of facility	Certification holder owns or has authority to maintain facility and fittings				
	If no – has owner failed to carry out or refused to carry out required maintenance				
Signs	OGTR signage and biohazard signs affixed to access door/s (signs are on or near access door/s and able to be clearly seen by persons entering facility)				
Disinfectant	Supply suitable for use against the GMOs dealt with in the facility available				
Pests	Pest control strategy in place				

Obligations of the certification holder in respect to the users of the facility

		Yes	No	N/A	Notes
Authorised persons	Facility access restricted to authorised persons (as described in Part B, conditions 1 to 9, of the <i>Guidelines for Certification of Physical Containment Facilities PC2 Aquatic Facility Version 3.1 – issued 1 March 2013</i>)				
Behavioural Requirements					
Training	Persons conducting dealings with GMOs in the facility have been trained in the behavioural requirements (as listed in Part C of <i>Guidelines for Certification of Physical Containment Facilities PC2 Aquatic Facility Version 3.1 – issued 1 March 2013</i>)				