

## Notifiable Low Risk Dealing (NLRDs) Reporting Form

This NLRD reporting form constitutes an official form issued by the Gene Technology Regulator (the Regulator) for the purposes of Regulation 13C(1)(a) - Gene Technology Regulations 2001 (the Regulations)

This form also captures information required by the Regulator to meet the requirements of r. 39 for NLRDs in maintaining a record of genetically modified organisms (GMO).

A person or accredited organisation must provide a copy of this form to the Regulator in a report for the financial year in which the NLRD has been assessed.

<b>Has the IBC assessed the dealing to be an NLRD?</b>	
<b>IBC NLRD Identifier</b>	
<b>Assessment Date</b>	
<b>Name of IBC</b>	
<b>Name of Organisation Notifying Dealing *</b>	
<b>Name of Organisation Proposing to undertake Dealing</b>	
<b>Project Title *</b> (please do not include confidential information)	
<b>GMO Details</b>	
<b>Dealing Type(s) *</b>	

*\* Fields that will be included in the public list of NLRDs on the OGTR website*

# Notifiable Low Risk Dealing (NLRD) – Explanatory Information

## Purpose

This document has been prepared to assist persons or accredited organisations in the completion of the NLRD reporting form to meet their reporting requirements to the Gene Technology Regulator (the Regulator).

## Definitions

**Record of Assessment (RoA):** is required for proposed dealings which have been assessed to be NLRDs by an Institutional Biosafety Committee (IBC).

**NLRD reporting form:** is the form approved by the Regulator which is to be used to provide a subset of information from the RoA to the Regulator.

**Person:** refers to individuals or non-accredited organisations undertaking or intending to undertake NLRDs.

## Requirements of the NLRD Reporting Process

- a person or accredited organisation must provide the Regulator with a completed NLRD reporting form for dealings assessed to be NLRDs by an IBC. The form must be provided to the Regulator in a report for the financial year (for accredited organisations, this form is part of the annual report).
- a person or accredited organisation that has been given a copy of a RoA by an IBC must keep a copy of the IBC's RoA for 8 years after the date of the assessment.
- a person or accredited organisation cannot vary, transfer or 'renew/extend' a dealing assessed to be an NLRD.
- for an NLRD to be continued past its expiry date, it must be assessed again as a new NLRD (with a new IBC identifier) and notified to the OGTR in the annual report for the reporting period in which the new assessment has been undertaken.
- any intended changes to an NLRD, as detailed in the RoA, may need to be assessed again as a new NLRD (with a new IBC identifier). This is dependent on how the original RoA was written.
- if, as a result of changes, a new NLRD is assessed, it must then be notified to the OGTR in the reporting period in which the assessment has been undertaken.

The NLRD reporting form is available for submission using an online platform which can be accessed from the OGTR website. Details of the required information can be entered into the online form manually or via an importation process. A copy of the NLRD import template is available on the OGTR website.

The content and guidance on completion of the NLRD reporting form is detailed on the following page.

## Completing the NLRD form

Fields)	Guide to completing the fields
<b>Has the IBC assessed the proposed dealing to be an NLRD?</b>	Only submit NLRDs to the Gene Technology Regulator that have actually been assessed to be a NLRD. (The answer to this should be "Yes".)
<b>IBC NLRD Identifier</b>	Enter a unique identifier (this can include letters and numerals and other characters), eg. "COL 2019/43" Please do not enter extraneous information in this field such as project titles/purpose.
<b>Date of IBC Assessment</b>	This must be a date only (no text) between 21 June 2001 and 30th June of the reporting period (future dates not permitted).
<b>Name of IBC</b>	This is the IBC used by the organisation. e.g. <i>ABCD Institutional Biosafety Committee</i> .
<b>Name of Organisation Notifying Dealing*</b>	Name of the organisation that submitted the NLRD proposal to the IBC. This should also be the organisation that notifies the Regulator.
<b>Name of Organisation proposing to undertake Dealing</b>	Name of the organisation(s) proposing to undertake the NLRD. This can be a number of organisations involved in undertaking the dealing.
<b>Project Title *</b> <b>(please do not include confidential information)</b>	Brief project title, for listing on OGTR website DO NOT include any CCI information in the title.
<b>GMO details</b>	Genus and species (where known) and for viruses, the family. If not known, describe the GMO as best you can. For multiple GMOs, please separate by a comma, or semi colon.
<b>Dealing Types*</b>	"[8 Oct 2019]" followed by the paragraph number(s) relating to the kinds of dealing relevant for each containment level as detailed in Schedule 3 of the Gene Technology Regulations e.g. [8 Oct 2019] PC1 - (a), PC2 - (a), (m) and (l), PC3

\* Fields that will be included in the public list of NLRDs on the OGTR website